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Docket No.: 42P17831

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GARY L. GRAUNKE

Application No.: 10/782,572

Filed: February 18, 2004

For: An Apparatus and Method for

Distributing Private Keys to an Entity with Minimal Secret, Unique Information

Art Group: 2131

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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42P17831

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Joseph Lutz, Reg. No. 43,765

Date: 🏒

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OIPE VOICE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Complete if Known						
Application Number	10/782,572					
Filing Date	February 18, 2004					
First Named Inventor	Gary L. Graunke					
Art Unit	2131					
Examiner Name						
Attorney Docket Number	42P17831					

U.S. PATENT DOCUMENTS								
Examiner Initials* No.1		Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear				
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	FOREIGN PATENT DOCUMENTS									
Examiner Cite Initials* No.'	Foreign Patent Document	Publication Date MM-DD-YYYY		Pages, Columns, Lines,	т6					
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ľ					
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Examiner	Date
Signature	Considered

*Examiner Initial if reference considered, whether or not ctation is in conformance with MPEP 609. Draw line through ctation if not in conformance and not considered. Include copy of this form with next communication.

¹Appl.cant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www uspto gov or MPEP 901 04. ³Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the senal number of the patent document. ⁵Kind of document by the appropriate symbols as indicated in the document under WiPO Standard ST. 16 if possible. ⁶Appl.cant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/03A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003



Application No. 10/782,572 TRANSMITTAL FORM Filing Date February 18, 2004 (to be used for all correspondence after initial filing) First Named Inventor Gary L. Graunke Art Unit 2131 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 42P17831 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Return receipt postcard Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Joseph Lutz, Reg. No. 43,765 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Marilyn Bass - 96 24

Date

Signature

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FEFTRANSMITTAL for FY 2005 Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27. TOTAL AMOUNT OF PAYMENT (\$) Complete if Known Application Number 10/782,572 Filing Date February 18, 2004 First Named Inventor Gary L. Graunke Examiner Name Art Unit 2131 Attorney Docket No. 42P17831

TOTAL A	MOUNT OF	- PAYMEN	<u>'</u>	(\$) Attorney Docket No. 42P17831					
METHOD OF PAYMENT (check all that apply)									
☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):									
	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)								
Cha	rge fee(s)	indicated	below	Charge fee(s) indicated below, except for th	e filing fee				
				underpayment of fee(s)					
FEE CALCUL	FEE CALCULATION								
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FeeFe		Fee Code	Fee (\$)	Fee Description	Fee Paid				
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.					
2053	130	2053	130	Non-English specification					
1251	120	2251	60	Extension for reply within first month					
1252	450	2252	225	Extension for reply within second month					
1253	1,020	2253	510	Extension for reply within third month					
1254	1,590	2254	795	Extension for reply within fourth month	extension for reply within fourth month				
1255	2,160	2255	1,080	Extension for reply within fifth month					
1401	500	2401	250	Notice of Appeal					
1402	500	2402	250	Filing a brief in support of an appeal					
1403	1,000	2403		Request for oral hearing					
1451	1,510	2451	1,510	Petition to institute a public use proceeding					
1460	130	2460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)					
1806	180	1806	180	Submission of Information Disclosure Stmt					
1809	790	1809		Filing a submission after final rejection (37 CFR § 1.129(a))					
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))				
Other fe	Other fee (specify)								
				SUBTOTAL (2)	\$)				

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Joseph Lutz	= %	Registration No. (Attorney/Agent)	43,765	Telephone	(310) 207-3800	
Signature	6	A			Date	3! 24 -35	